



## Small Business Finance Center

CALIFORNIA INFRASTRUCTURE AND  
ECONOMIC DEVELOPMENT BANK

### LENDER CERTIFICATION TO PARTICIPATE

Name of Financial Institution: \_\_\_\_\_

EIN: \_\_\_\_\_

Type of Institution:

☐ Federal or State Chartered Bank

FDIC #: \_\_\_\_\_

RSSD ID #: \_\_\_\_\_

☐ Credit Union

NCUA Charter #: \_\_\_\_\_

☐ Certified Community Development Financial Institution

CDFI #: \_\_\_\_\_

☐ Savings Association

☐ Farm Credit System Insurance Corporation

☐ Other Type of Lender

Other Regulatory ID #: \_\_\_\_\_

Contact Name: \_\_\_\_\_ Email: \_\_\_\_\_

Address: \_\_\_\_\_ City \_\_\_\_\_ State & Zip: \_\_\_\_\_

Website: \_\_\_\_\_

Primary Service Area (must be within CA):

☐ Statewide - OR –

☐ Northern California – OR –

☐ Southern California – OR -

☐ Only Specific Counties Served (list all):

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Financial Development Corporation Partner (select all that apply):

☐ California Capital Financial Development Corporation

☐ California Coastal Rural Development Corporation

☐ California Southern Financial Development Corporation

☐ Nor-Cal Financial Development Corporation

☐ Pacific Coast Regional Financial Development Corporation

☐ Small Business Development Corporation of Orange County

☐ Valley Small Business Development Corporation

This financial institution certifies it will comply with the SBFC policies and procedures, set forth in the Directives and Requirements and the SBLGP Policy Manual. Any Participating Lender that fails to comply will be suspended from receiving SBLGP guarantees.



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The Lender hereby certifies:

No principal of the entity listed above has been convicted of a sex offense against a minor (as such terms are defined in 34 U.S.C. § 20911). For the purposes of this certification, “principal” means the following: if a sole proprietorship, the proprietor; if a partnership, each partner; if a corporation, limited liability company, association, development company, or other entity, each director, each of the five most highly compensated executives, officers, or employees of the entity, and each direct or indirect holder of 20 percent or more of the ownership stock or stock equivalent of the entity.

This certification, once signed and delivered to IBank, shall be valid for the remaining term of the fiscal year in which it was signed. This certification shall become invalid upon the addition of a new Principal(s), the conviction of an existing Principal of a sex offense against a minor, or any other event that renders the certification materially inaccurate. The lender or Investor shall immediately notify IBank in writing if it is aware of any changes to the lender’s certification status and shall provide to IBank a replacement certification prior to funding any new loan supported by SSBCI funds.

The undersigned is an authorized representative of the lender.

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Authorized Signature

Date

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Printed Name

Title

California Infrastructure and Economic Development Bank (IBank) Use Only			
Approval Signature:	Valid for Fiscal Year:	Bauer Rating:	UST CDFI: